

IP PARIS

Asynchronous programming

SSP-RS — Safe System Programming (in Rust)

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[Blocking, non-blocking and asynchronous I/O](#page-1-0)

A typical computer program can do one or more of the following:

- \blacksquare Input data from the user
- Output data to the user \blacksquare
- Read data from storage
- $\mathcal{L}_{\mathcal{A}}$ Write data to storage
- Read data from a network \blacksquare
- Send data to a network п
- $\overline{}$ Compute something

Splitting common actions into tasks

Some actions are composed of several smaller tasks. For example:

- Read from storage: send the data address to the storage driver, *wait for the data to have been retrieved*, then read the data
- Query a database: send the request to the database, *wait for the result*, then read the result
- Make a HTTP request: send the request to the server, *wait for the result*, then read the result
- Answer a HTTP request: parse the request headers, *wait for the request body to be fully received*, compute the result and send it

One common denominator between those actions is: at some point there is a need to wait for data which is not instantly ready.

Waiting should not waste CPU cycles if the computer has other things to do.

Wait times and parallelism

Input/output (I/O) services are provided by the operating system. When a thread does a *blocking* request (a request whose result is not immediately available), the OS will mark it as *waiting* and will not schedule it until the result is available.

A multithreaded web server answering requests by querying a database might work as follow:

- The server binds a listening socket to the port it want to receive requests on (for example 443).
- The server **waits** for a connection to happen.
- When an incoming connection arrives, the server spawns a new thread to handle this connection (while itself resuming its listening):
	- The thread **waits** for the request to be sent.
	- The thread sends a query to the database server and **waits** for the result.
	- The thread sends the result to the connection, and resumes **waiting** for the next request.

On Linux, the default thread size is 10 MiB. If a server is popular, it might have to deal with tenths of thousands of simultaneous connections, meaning that as many threads would be created, each one with its stack \Rightarrow this is not scalable.

Non-blocking I/O

On modern systems, every I/O operation happens through a *file descriptor*. A file descriptor can correspond to an open file, a network socket, a special peripheral (standard input and output), etc.

Reading from, or writing to a file descriptor are by default *potentially blocking* operations: if an operation cannot be completed immediately, the kernel suspends the thread, then resumes it when the operation has been completed.

File descriptors can be configured in **non-blocking** mode: all operations will return immediately, with error code EWOULDBLOCK if an operation is attempted and would result in blocking the calling thread.

A typical way to use non-blocking mode is:

- **E** check whether the operation (send data, receive data) would be possible without blocking:
- \blacksquare then when it is, perform the operation.

The OS provides tools ($select()$, $poll()$) to check on the readiness of one or more file descriptors at the same time with regard to read and write operations.

Web server with non-blocking I/O

A web server using non-blocking I/O could:

 \blacksquare setup a struct pollfd object to retrieve the state of:

- the socket it listens for new connections to arrive on (read mode)
- all sockets it is waiting for data to arrive on (read mode)
- all sockets it is waiting for data to be written to (write mode)
- \Box poll() this object: the kernel will block the calling thread until one of the polled file descriptors is ready (some data can be read from or written to it), or until a *timeout* occurs
- **do what is requested on the corresponding file descriptor (read and write some data, maybe not** all, in which case the file descriptor will be polled again)
- **start a new thread when actual work has to be done (for example to produce the content of a web** page), or even do the work in the current thread if it is short enough

In this scenario, new threads are spawned only to do actual work, not for every incoming connection. Thread pools can even be used to avoid having too many threads alive at the same time.

However, it complicates the program structure compared to a more linear execution form.

How is non-blocking I/O done?

In C:

- A file can be opened with the Ω NONBLOCK flag, or the flag can be set on any existing file descriptor fd using fcntl(fd, SET_FL, O_NONBLOCK).
- \blacksquare The system calls $\text{select}()$ and $\text{poll}(())$ can poll several file descriptors.
- \blacksquare Other OS-specific methods ($pselect()$ and $ppol($) on Linux, kqueue on BSD) offer finer grained control.

In Rust:

- Direct bindings can be used to interface with the C library's select () and $pol($.
- The [mio](https://crates.io/crates/mio) C^{*r*} (*Metal I/O*) crate offers higher-level types and functions to perform those operations.

Asynchronous I/O

Non-blocking I/O is powerful, but tedious:

- **ORED ONEX THE** *some bytes* can be read or written from the file descriptor;
- \blacksquare the operation must be restarted with the rest of the data to read or write.

Asynchronous I/O is different:

- requests to read or write data always return immediately (as in non-blocking I/O);
- the I/O subsystem uses the provided buffer to store the received data or to get the data to write to the file descriptor;
- \blacksquare the requester can query whether an operation is terminated or in progress;
- the I/O subsystem can send a *signal* when the operation is complete (data fully read or fully written, or an error occurred);
- \blacksquare when an operation is complete, the requester can then use the data (in case of a read without error) or free the buffer (in case of a write).

Asynchronous I/O and callbacks

Asynchronous I/O are often used along with a callback subsystem. A simple design could be:

- A signal handler is in charge of running previously registered callbacks when an asynchronous operation terminates. It keeps a mapping of operation \rightarrow callback.
- When the signal arises, for every key the signal handler checks which operations are complete and calls their callback, removing them from the mapping.
- **Just before calling the asynchronous operation, the user registers a callback with the signal** handler.

This way, any client of this callback subsystem can have some code execute when an asynchronous operation is complete even though all completions are materialized using a single signal.

Variations are possible, for example by registering two callbacks (in case of success and in case of error).

Asynchronous I/O and callbacks: an example

An example in Javascript using node, is shows a callback being registers for the fs . readFile() operation:¹

```
1 const fs = require("fs");
2 fs.readFile("/file.md", (err, data) => {
3 if (err) throw err;
4 console.log(data);
5 });
6 moreWork(); // will run before console.log
```
fs.readFile() takes care of registering the anonymous function given as second argument with the builtin signal handler before starting the operation.


```
nodejs.org<sup>7</sup>
```
Asynchronous I/O and callbacks: another example

Another example² shows how much chained asynchronous I/O operations disrupt the program flow:

```
1 const fs = require("fs");
2 fs.readFile("/file.md", (readFileErr, data) => {
3 if (readFileErr) throw readFileErr;
4 console.log(data);
5 fs.unlink("/file.md", (unlinkErr) => {
6 if (unlinkErr) throw unlinkErr;
7 \quad \}:
8 });
```
Callbacks are a great functionality, but force the programmer's (and the reader's) mind to switch into "parallel/asynchronous mode". Such programs are harder to review, and bugs may be harder to find when they happen in the middle of a callback chain.

²Also from [nodejs.org](https://nodejs.org/en/docs/guides/blocking-vs-non-blocking/) G

The fault is not Javascript's, it is the callbacks' one

Rust has a Future type to represent the result of a not-yet-ready operation. Combinators allow the programmer to use a callback-like style:

```
1 // Using fictitious asynchronous operations
2 let fut = open("/file.md")
3 .or_else(|e| panic!("open error: {e}"))
4 and then(|fd|5 fd.read_file()
6 .or else(|e| panic!("read file error: {e}"))
7 and then(|data|)
8 unlink("/file.md").or_else(|e| panic!("unlink error: {e}")).and_then(|_| data)
9 )
10 );
11 // Later, run request on an executor (here we only have one future to run, we could have
12 // several of them)
13 let data = executor.block on(fut);
```
Again, callbacks are great, but they can make the code unreadable when deeply chained.

In addition to the "callback chain mess", there are other ways asynchronous I/O can go wrong:

- \blacksquare The user might reuse the buffer being asynchronously written, for example to prepare the next request.
- The user might read incomplete data from a buffer, before the read operation has terminated.

Those pitfalls exist in blocking I/O as well, but require the buffer to be accessible from multiple threads at once, a situation which is usually avoided.

The main difficulty with asynchronous I/O is that the programmer has to structure the code around the asynchronicity.

There must be a better way.

[Futures and async/await](#page-14-0)

Our goal

We want to transform this code, which builds a F uture using combinators:

```
1 let fut = open("/file.md")
2 .or else(|e| panic!("open error: {e}"))
3 .and then(|fd|
4 fd.read file()
5 .or_else(|e| panic!("read_file error: {e}"))
6 . and then (|data|
7 unlink("/file.md").or else(|e| panic!("unlink error: {e}")).and then(| | data)
8 )
9 );
10 let data = executor.block on(fut);
```
into something like

```
1 let fut = async {
2 let data = open("/file.md").await?.read_file().await?;
3 unlink("/file.md").await?;
4 Ok(data)
5 };
6 let data = executor.block_on(fut)?;
```


Note on the syntax

```
1 let fut = async {
2 let data = open("/file.md").await?.read_file().await?;
3 unlink("/file.md").await?;
4 Ok(data)
5 };
6 let data = executor.block_on(fut)?;
```
- async { … } creates an asynchronous block, *i.e.*, an anonymous Future.
- **E** async $\{ \dots \}$ captures variables from its environment as needed, like a closure.
- **E** async move $\{ \dots \}$ transfers all captured variables into the asynchronous block.
- . await in an asynchronous block waits for a Future to terminate.
- **u**. await? is just . await followed by ?, this is not a special syntax. It is useful if the Future being awaited returns a Result or an Option.

The program flow starts to look familiar and resembles a traditional blocking flow.

Asynchronous functions

get and $delete()$ will return a Future containing a file content and delete it, or an error:

```
1 pub fn get and delete(name: String) \rightarrow impl Future<Output = Result<String, std::io::Error>> {
2 async move { // move is needed here to capture `name` into the future
3 let data = open(&name).await?.read_file().await?;
4 unlink(&name).await?;
5 Ok(data)
6 }
```
This can be written even more concisely. This $\frac{\text{async}}{\text{fn}}$ desugars into the code above:

```
1 pub async fn get and delete(name: String) \rightarrow Result<String, std::io::Error> {
2 let data = open(&name).await?.read_file().await?;
3 unlink(&name).await?;
4 Ok(data)
```
In both (equivalent) cases, calling get and delete (file name) will return a Future. Future in Rust are lazy, and must be passed to an executor in order to progress.

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The Future type in Rust

The $\texttt{std}:$ future::Future trait represents a computation whose result will be available later:³

```
1 trait Future {
2 type Output;
3 fn poll(self: Pin<&mut Self>, context: &mut Context<'_>) -> Poll<Self::Output>;
4 }
```
The first call to $pol_1()$ starts the computation. $pol_1()$ returns:

- \blacksquare Poll::Ready(result): the result is available without delay
- \blacksquare Poll:: Pending: the result is not available yet, try again later

While it would be possible to call $\text{poll}()$ repeatedly until the result is ready, it would be most inefficient. The Context contains a callback (a *waker*) that the operation started in the background must call to indicate that progress has been made (*e.g.*, when it is complete).

 3 Pretend you do not see the $P\text{in}$ type at the moment, and consider it as a smart pointer.

A Future unrolled

The code in $\text{pol}(I)$ for a future fut returning the first 100 bytes of a file might:

- \blacksquare prepare a buffer to store the content;
- **F** register with the asynchronous I/O signal handler the association between asynchronous read operation and the callback passed in the context;
- \blacksquare start the asynchronous read of the file;
- return Poll::Pending.

When the asynchronous read terminates, the operating system sends the asynchronous I/O signal, which in turn checks the associations and find that the asynchronous read is complete. It calls the callback, which tells the executor that $fut.poll(context)$ should be called again. This call will:

- \blacksquare see that the asynchronous operation has completed;
- return $Pol1$: Ready (buffer) with the 100 first bytes of the file (at most).

The executor is in charge

The executor is in charge of polling the Future when it is useful to do so.

A minimal executor contains:

/// Execute `fut` to completion **fn** block_on<T, F>(fut) -> T where F: Future<Output = T>

This future may itself launch sub-futures by calling their $pol(1)$ method from its own poll() method, passing them the same waker. As soon as one sub-future progresses, the top-level future poll() method will be called by the executor.

Future and parallelism

The $\texttt{futures} \, \text{\textcircled{S}}$ $\texttt{futures} \, \text{\textcircled{S}}$ $\texttt{futures} \, \text{\textcircled{S}}$ crate contains utilities to help working with futures, such as:

- \blacksquare join(fut1, fut2): return a Future returning a couple $(r1, r2)$ with the result of both futures
- \blacksquare select(fut1, fut2): return a Future returning a Either value. Either::Left(r1) if fut1 terminates first, $Either:Right(r2)$ if $fut2$ terminates first.

If f ut i ter is an iterator producing Future objects with the same type:

 \blacksquare join all(fut iter): return a Future returning a Vec with the result of all futures \blacksquare select $all(fut iter)$: return a Future returning the result of the first terminating future along with its index

Parallelism example

get and delete $\text{many}()$ works on several files in parallel:

```
1 pub async fn get_and_delete(name: String) -> Result<String, std::io::Error> {
2 let data = open(&name).await?.read_file().await?;
3 unlink(&name).await?;
4 Ok(data)
6
7 pub async fn get_and_delete_many(names: Vec<String>) -> Result<Vec<String>, std::io::Error> {
8 let results: Vec<Result<String, std::io::Error>> =
9 join_all(names.into_iter().map(get_and_delete)).await;
10 // An iterator of `Result<T, E>` can be collected into `Result<Vec<T>, E>`
11 results.into iter().collect()
12 }
```
It should be noted that:

<code>names.into_iter().map(get_and_delete) $^{\text{4}}$ is an iterator producing futures</code> ■ join all() produces a future from the iterator, and we await it

The last part is equivalent to .map(|name| get_and_delete(name)) through η-conversion

}

What about lifetimes?

name was captured in get and $delete()$ because async fn desugars into a function returning a async move block. But what if the name was given as a $&str?$

The naïve version without using $\frac{1}{2}$ async fn fails to compile:

```
1 pub fn get_and_delete(name: &str) -> impl Future<Output = Result<String, std::io::Error>> {
2 async { // no `move` needed to capture `name` as references implement Copy
3 let data = open(name).await?.read_file().await?;
4 unlink(name).await?;
5 Ok(data)
6 }
7 }
```
error[E0700]: hidden type for `impl Future<Output = …>` captures lifetime that does not appear in bounds

As expected from Rust, async and async move build an anonymous Future whose lifetime cannot exceed the lifetime of captured parameters, just like a closure does.

Solving the lifetime issue

There are several ways this particular lifetime issue can be tackled. One obvious way would be to make a new copy of the name and capture it inside the async block:

```
1 pub fn get and delete(name: kstr) -> impl Future<Output = Result<String, std::io::Error>> {
2 let name: String = name.to_owned();
3 async move { // `move` needed to capture `name` inside the block
4 let data = open(&name).await?.read_file().await?;
5 unlink(&name).await?;
6 Ok(data)
7 }
```
In this case, the returned future does not reference anything from the environment, and its lifetime is 'static: it will leave until the end of the program (or until it gets consumed), even if the original name is deallocated.

This solution wouldn't work with async fn though: the $String$ was copied with . to α owned() before creating the async move block. In an async fn , the whole function body is put in the async move block while desugaring, the early copy cannot be done this way.

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Solving the lifetime issue (con't)

Another solution would be to accept that the returned Future is constrained by the input parameter lifetime, and represent it into the function output type 5 :

```
1 pub fn get_and_delete(name: kstr) -> impl Future<Output = Result<String, std::io::Error>> + ' {
2 async {
3 let data = open(name).await?.read_file().await?;
4 unlink(name).await?;
5 Ok(data)
6 }
\overline{7}
```
The future will have to be used or dropped before the end of $&str$ lifetime. async fn will also automatically add this lifetime restriction to the anonymous output type it desugars into. This works:

```
1 pub async fn get and delete(name: kstr) -> Result<String, std::io::Error> {
2 let data = open(name).await?.read_file().await?;
3 unlink(name).await?;
4 Ok(data)
```
5 }

 5 According to lifetime elision rules, $\frac{1}{2}$ in an output type represents the lifetime of $\&\text{self}/\&\text{mut}$ self when it is the first function parameter, or the unique input lifetime otherwise, in this case the lifetime of $&str.$

Solving the lifetime issue (the end)

```
Using \&\llbracket\&\texttt{str}\rrbracket instead of \texttt{Vec}\times\texttt{String} in \texttt{get\_and\_delete\_many} ( ) may be interesting^6:
```

```
1 pub async fn get and delete many(names: \&[&str]) -> Result<Vec<String>, std::io::Error> {
2 let results: Vec<Result<String, std::io::Error>> =
3 join_all(names.iter().map(|name| get_and_delete(name))).await;
4 results.into_iter().collect()
```
Not using $\frac{\text{async}}{\text{in}}$ requires naming the one input lifetime that will be copied into the output:

```
1 pub fn get_and_delete_many<'a>(names: &'a [&str]) ->
2 impl Future<Output = Result<Vec<String>, std::io::Error>> + 'a
3 {
4 async {
5 let results: Vec<Result<String, std::io::Error>> =
6 join_all(names.iter().map(|name| get_and_delete(name))).await;
7 // An iterator of `Result<T, E>` can be collected into `Result<Vec<T>, E>`
8 results.into_iter().collect()
9 }
10 }
```
Note how .map(get_and_delete) cannot be used here as the type of the items ($\&\&$ r) does not match the requires type $(\&$ str). The compiler inserts an auto $*$ before name in the function call: get_and_delete($*$ name).

}

How does the compiler build a Future from an async block?

The use case will be the following simple Δ async move block (filename is a $\&$ str):

```
1 async move {
2 let file = open(filename).await?;
3 let data = file.read_file().await?;
4 file.close().await?;
5 Ok(data)
```
The idea is to transform it into an object implementing $Future$, $poll()$ will be called: each time there is a . await, $poll()$ will return $Poll::Pending$ if the awaited future is not immediately ready. The next time $pol_1()$ will be called, the work must resume at the right point.

In the theory of computation, this is called a *finite-state machine* (or *FSM*).

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async block hand-waving: the FSM

The following three slides are a schematic view (or some [hand-waving](https://en.wikipedia.org/wiki/Hand-waving) �*) of what happens when an async block is transformed. The real process is different, but works roughly the same way.*

The compiler builds a state machine to preserve the state and all variables alive accross each wait point and will implement Future on it:

```
enum $AsyncBlock42<'filename> {
   Start { filename: &'filename str },
   AwaitLine2 { $future: OpenFut }, // OpenFut is the type returned by `open()`
   AwaitLine3 { file: File, $future: ReadFileFut },
   AwaitLine4 { data: String, $future: CloseFut },
}
```
filename is a captured variable; since it is a reference type, it requires an explicit lifetime. It is only stored in Start because it is no longer used after the await point at line 2. file however is alive accross the await points at line 3.

If a variable is alive accross several await point, it must always end up at the same offset from the beginning of the structure for reasons that will be explained later.

async block hand-waving: poll()

```
1 impl Future for $AsyncBlock42<'_> { // `'filename` will never be referenced, use `'_`
2 type Output = Result<String, std::io::Error>;
3 fn poll(self: Pin<&mut Self>, context: &mut Context<'_>) -> Poll<Self::Output> {
4 match self.as_mut() {
5 &mut $AsyncBlock42::AwaitLine3 { ref mut file, ref mut $future } => {
6 if let Poll::Ready(x) = $future.poll(context) { // `file.read_file()` is completed
7 let x = match x { // Expansion of `?` from source
\mathbb{R}^{8} Ok(x) => x,
9 Err(x) \Rightarrow return \text{ Pol1}: Ready(Err(x.into())),
10 };
11 let data = x; // From source
12 let $future = file.close(); // From source
13 *self.as_mut() = $AsyncBlock42::AwaitLine4 { data, $future }; // Progress
14 self.poll(context) // Poll the next future
15 } else {
16 Poll::Pending // Wait again
17 }
18  }
19 … // Other variants not shown here
20 }
21 }
22 }
```
async block hand-waving: final expansion

So this code

```
1 pub async fn get_file_md(filename: &str) -> Result<String, std::io::Error> {
2 let file = open(filename).await?;
3 let data = file.read_file().await?;
4 file.close().await?;
5 Ok(data)
\mathbf{6}
```
will produce

```
1 pub fn get_file_md(filename: &str) -> $AsyncBlock42<'_> { // Copy the input lifetime as 'filename
2 $AsyncBlock42::Start { filename } // Capture `filename`
3 }
4
5 enum $AsyncBlock42<'filename> { … }
6
7 impl Future for $AsyncBlock42<' > {
8 type Output = Result<String, std::io::Error>;
9 fn poll(self: Pin<&mut Self>, context: &mut Context) -> Poll<Self::Output> { ... }
10 }
```


Self-referential structures

Rust is a language which likes to move objects around. By default, when ownership is transferred, the underlying object can move around (for example when storing a field in a struct).

It is always safe to use $\text{std}: \text{mem}: \text{replace}()$: any mutable reference to a fixed-size object can be used to replace the object with another one of the same type.

But what if an object could store a reference to itself? Would such an object be safe to move? For example, if an object stored at address 0×3210 in memory contained a x field initialized to $3u64$ and a y field of type $\&\text{u64}$ pointing to this x field?

Fortunately, even though it is possible to build such an object, Rust lifetimes and borrowing rules will prevent it from moving at all⁷.

 7 An example follows in a few slides.

async block and self-referential structures

Now what about this async block?

```
1 async {
2 let x = 3;
3 do_something().await;
4 let y = &x;
5 do_something_else().await;
6 f(y)\overline{7}
```
x and $&\text{y}$ are both alive accross the await point at line 5: they will be stored in the FSM, and y (in reality the y field) references the x field. This self-referential structure is built by the compiler, which can do much more than the user, and is not burdened by lifetime considerations.

However, once stored at an address (*e.g.,* 0x3210) where it will be used by the FSM, it must never move again, or y will not point to the right place. How can this be achieved?

Preventing an object from moving

What can make an object move in safe Rust? As discussed already:

- 1. The owner of an object can transfer it. The object may move in the process.
- 2. The owner of a mutable reference to an object can $\text{std}: \text{mem}: \text{replace}()$ this object with another one. Not only will the referenced object move, but the owner can now transfer it and move it again (item 1).
- 3. Any smart-pointer implementing DerefMut can be used to obtain a mutable reference to the object. See item 2.

To prevent an object from moving, one must ensure that its owner cannot move it, and that no mutable reference or smart pointer implementing **DexefMut** exist or can be obtained in safe Rust on this object. However, to be useful, the object must still be accessible through non-mutable references, and maybe even mutably through unsafe functions.

Pin is the solution to this problem.

The Pin wrapper over pointers

If $P < T$ is a smart-pointer or a reference over T (for example $Box < T$), $&mut$ T), $Pin < P < T$ is a smart-pointer which wraps an existing pointer or smart-pointer:

- If $P(T>$ implements $Deref < Target$ = $T>$, $Pin < P < T>$ also does.
- If T implements Unpin only: if $P\langle T \rangle$ implements DerefMut, $Pin\langle P\langle T \rangle$ also does.
- If T implements Unpin only: . into $\text{inner}()$ can be used to retrieve the inner $P \le T$.

As a consequence:

- If T implements Unpin, Pin is mostly transparent: $PinT>$ will act as a $Box<$ T $>$. Pin $\&$ mut. T> will act as a $\&$ mut. T.
- If T does not implement Unpin, Pin acts more like a non-mutable reference: $PinT>>$ and Pin_{kmut} T will implement Deref but not DerefMut. Only operations requiring a non-mutable access ($\&$ self methods, but not $&$ mut self methods) can be used.
- If T does not implement Unpin, once a mutable reference (the only one) or a pointer implementing $Derfflut$ (the only one) has been wrapped into a Pin , it can never be unwrapped.

The Unpin marker trait

The Unpin marker trait is automatically implemented by almost all Rust types, as it is not possible to build a self-referential structure other than locally in safe Rust, and this structure cannot ever be moved:

```
1 struct SelfRef<'a> {
2 x: u64,
3 \qquad v: Option \& 'a u64>.
\overline{4}5
6 fn main() {
7 let mut s = SelfRef { x: 3, y: None };
8 s.y = Some(&s.x); // `s` borrows itself
9 let t = s; // Error: cannot move out of `s` because it is borrowed (line 8)
10 }
```
However, as soon as the compiler builds a self-referential data structure when transforming an async block into a FSM, it marks this structure as *Unpin*. If a reference or smart-pointer to an object of this type is placed into a Pin , the object will never move again until it gets destroyed.

Explaining poll() signature

The signature of the $poll()$ function for the Future trait contains $Pin<\$ ut Self>:

```
1 trait Future<T> {
2 type Output;
3 fn poll(self: Pin<&mut Self>, context: &mut Context<'_>) -> Poll<Self::Output>;
4 }
```
When writing the $poll()$ method for a type implementing $Future:$

- \blacksquare either the type is provably Unpin, as most types are: self may be freely used as a mutable reference to the object;
- \blacksquare or the type is not provably Unpin: the accessible operations on the objects are similar as those through a non-mutable reference, or unsafe must be used.

But in what case could a user type implementing Future not be provably Unpin? Through generics! Storing an object of an arbitrary type (this possibly !Unpin) into a structure will make it !Unpin. Indeed, if a structure moves, its fields will also move; having one (possibly) unmovable field makes the structure unmovable.

Dealing with a !Unpin in poll()

Using unsafe allows to still get a mutable reference to self in $\text{pol}(t)$ even though the type is potentially !Unpin: it becomes the programmer's responsibility to uphold Rust invariants, such as not moving the object or its possibly Unpin fields.

For example, implementing $\overline{\text{j} \text{oin} (\text{fut1}, \text{ fut2})}$ as a future returning the results of both futures $fut1$ and $fut2$ might imply designing a type like:

```
1 struct Join<T1, T2, F1: Future<Output = T1>, F2: Future<Output = T2>> {
2 fut1: F1,
3 fut2: F2,
4 …
```
Since F1 and F2 might be !Unpin, Join cannot be proven to be Unpin in all cases. In poll(), it will require using unsafe to get access to $\&$ mut self.fut1 and $\&$ mut self.fut2, and put them in $\frac{p_{in}}{p_{in}}$ wrappers themselves in order to call their respective $\frac{p_{out}}{p_{out}}$ method. They will not have moved, the invariant is upheld.

Now that futures are thoroughly explained, it is time to use them in practice.

5 }

[Executors and libraries](#page-38-0)

The standard library does not contain any executor. The most used executors are:

- \blacksquare [Tokio](https://tokio.rs) \heartsuit : a modular full-fledged executor coming with bells and whistles
- **[async-std](https://github.com/async-rs/async-std)** \vec{a} **:** an asynchronous version of the Rust standard library
- \blacksquare [smol](https://github.com/smol-rs/smol) \mathbb{Z} : a small and fast asynchronous runtime

In addition to the basic $block$ on() service, those executors offer many additional services:

 \blacksquare I/O (file and network) versions of the common operations — using a blocking operation in a Future (or an async block) would block the entire thread and defeat the purpose \blacksquare timer centered functions, to implement delays, and timeouts

Tokio will be briefly presented through the next slides. Its $full$ feature flag can be used during development before trimming down the features.

Tokio

Tokio comes with a handy attribute allowing to define an asynchronous $\text{main}()$ function. Similarly, a #[tokio::test] attribute decorates asynchronous test functions in lieu of #[test].

```
1 #[tokio::main]
2 async fn main() {
3 do_something().await;
4 }
```
Tokio comes with drop-in replacements of the standard library I/O types and modules:

```
1 use tokio::net::{TcpListener, TcpStream};
2
3 #[tokio::main]
4 async fn main() {
5 let listener = TcpListener::bind("127.0.0.1:6379").await.unwrap();
6 loop { // Accept then process one incoming connection at a time
7 let (socket, _) = listener.accept().await.unwrap();
8 process(socket).await;
9 }
10 }
11
12 async fn process(socket: TcpStream) { … }
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```


Tokio: spawning futures

Tokio also provides $\text{tokio}: \text{span}()$, which runs a future independently of the current one, thus resembling the thread model:

```
1 use tokio::net::{TcpListener, TcpStream};
2
3 #[tokio::main]
4 async fn main() {
5 let listener = TcpListener::bind("127.0.0.1:6379").await.unwrap();
6 loop { // Accept an incoming connection and spawn a new future to handle it
7 let (socket, _) = listener.accept().await.unwrap();
8 tokio::spawn(process(socket)); // Run independently
9 }
10 }
11
12 async fn process(socket: TcpStream) { … }
```
Spawning a future will call its $pol()$ automatically as long as it does not terminate. The result will be lost, as spawning a future makes it live its own life. Channels can be used to communicate in this scenario.

The Tokio crate contains several asynchronous channel models:

- **no** [mpsc](https://docs.rs/tokio/latest/tokio/sync/mpsc/index.html) \vec{C} : multi-producer single-consumer, similar to the one in the standard library
- \Box [oneshot](https://docs.rs/tokio/latest/tokio/sync/oneshot/index.html) \mathbb{Z} : single value channel, both the sender and the receiver are consumed after use
- **[broadcast](https://docs.rs/tokio/latest/tokio/sync/broadcast/index.html)** \vec{C} **:** multiple-producer multiple-consumer, every reader sees every message
- \blacksquare [watch](https://docs.rs/tokio/latest/tokio/sync/watch/index.html) \mathbb{C} : single-producer multiple-consumer, every reader sees only the last value produced

Also, Tokio offers alternatives to the common synchronization tools. The Tokio variants are asynchronous and will not block the whole thread: $M \leq X$, $R \leq R$, etc.

futures: the extension crate

The $futures \&$ $futures \&$ crate augments the standard library functionalities:

- **The Future Ext** \mathbf{C}' **trait adds combinators (map (), filter (), etc.) to the Future trait.**
- The $TryFutureExt G$ $TryFutureExt G$ trait adds combinators $(and_then(), or_else(), etc.)$ to the Future trait when its output type is a Result.

Importing those traits into direct visibility using a use clause is enough to get those new methods available on any Future object.

The crate also contains standalone functions to $\overline{\text{join}}() \mathbf{C}$ and select $() \mathbf{C}$ futures (simultaneous execution), or to build an anonymous future type from a $\text{pol}(1)$ -like function:

```
1 use futures::future::poll_fn;
2 use futures::task::{Context, Poll};
3
4 fn hello(_cx: &mut Context<'_>) -> Poll<&'static str> {
5 Poll::Ready("Hello, World!")
6 }
8 let hello future = poll fn(hello);
```


7

In this class, the following points were made:

- Blocking requests paralyze an entire thread even during waiting times.
- Non-blocking I/O can free up threads but require more involvement from the programmer.
- **Asynchronous I/O are of a higher level than non-blocking I/O but can lead to a callback mess.**
- Futures combined with async/await lead to a more sequential program flow.
- The Rust compiler transforms async/await blocks into a finite state machine (FSM).
- \blacksquare The Rust compiler tries very hard to ensure that the programmer will not attempt to use unmovable data, thanks to the $\frac{p_{in}}{p_{in}}$ wrapper type and the $\frac{Unpin}{m}$ marker trait.
- **Executors are not bundled with the Rust standard library. They come in various shapes and forms.** External crates add functionalities to the existing futures.

